

Second Bidder

12-09-24 P02:28 RCVD

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE - COMMITMENT
OCR-0006 (REV 11/2020)

CONTRACT NO. 05-1K6404
BID AMOUNT \$ 3,053,925.00
BID OPENING DATE 12/04/24
BIDDER'S NAME SERAFIX ENGINEERING CONTRACTORS, INC.
DBE GOAL FROM CONTRACT % 21%

DBE PRIME CONTRACTOR CERTIFICATION ¹ N/A	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) \$1,256,202.00	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) 6
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	NAICS AND/OR WORK CATEGORY CODES ³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors.)	AMOUNT (\$)
10	HOT MIX ASPHALT (TYPE A)		QA CONSTRUCTORS	229,500.00
31	PLACE HMA DIKE (TYPE A)	237310,238110, 238120,238990	SAN FRANCISCO, CA 408-210-5508	810.00
32	PLACE HMA DIKE (TYPE E)		#44069	9,450.00
33	TACK COAT			50.00
34	REMOVE AC DIKE			4,560.00
67	MOBILIZATION (PARTIAL)			58,500.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

Failure to submit a signed DBE Confirmation form and submit copies of the DBE quotes will result in disallowance of the DBE's participation.

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use NAICS and/or Work Category Codes from the California Unified Certification Program database.

* NAICS: North American Industry Classification System.

Total Claimed Participation	\$ CONTINUE...
	%

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).


Signature of Bidder

12/05/24
Date

925-552-8253
(Area Code) Tel. No.

LARRY E. BRANDT, PRESIDENT
Person to Contact (Please Type or Print)

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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED²	NAICS AND/OR WORK CATEGORY CODES³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors.)	AMOUNT (\$)
3	CAS		AVILA TRAFFIC SAFETY	38,830.00
4	TRAFFIC CONTROL SYS.(PARTIAL)		ATASCADERO, CA	61,600.00
17	TREATED WOOD WASTE	C0800, C1200,C1201,	805-461-1600	2,760.00
52	MARKER	C5620, C8201, C9903,	#38321	1,540.00
53	REMOVE ROADSIDE SIGN	562119, 562998		600.00
54	FURNISH SINGLE SHEET AL. SIGNS (0.63"-UNFRAMED)			396.00
55	FURNISH SINGLE SHEET AL. SIGNS (0.80"-UNFRAMED)			125.00
56	FURNISH SINGLE SHEET AL. SIGNS (0.63"-FRAMED)			375.00
57	ROADSIDE SIGN-ONE POST			4,850.00
58	ROADSIDE SIGN-TWO POST			2,100.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).


Failure to submit a signed DBE Confirmation form and submit copies of the DBE quotes will result in disallowance of the DBE's participation.

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use NAICS and/or Work Category Codes from the California Unified Certification Program database.

* NAICS: North American Industry Classification System.

Total Claimed Participation	\$ CONTINUE... %
<p>The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).</p> <p style="text-align: center;"></p> <p>Signature of Bidder</p>	
12/05/24 Date	925-552-8253 (Area Code) Tel. No.
<p>LARRY E. BRANDT, PRESIDENT</p> <p>Person to Contact (Please Type or Print)</p>	

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DBE - COMMITMENT
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CONTRACT NO. 05-1K6404
BID AMOUNT \$ 3,053,925.00
BID OPENING DATE 12/04/24
BIDDER'S NAME SERAFIX ENGINEERING CONTRACTORS, INC.
DBE GOAL FROM CONTRACT % 21%

DBE PRIME CONTRACTOR CERTIFICATION ¹ N/A	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) \$1,256,202.00	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) 6
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	NAICS AND/OR WORK CATEGORY CODES ³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors.)	AMOUNT (\$)
45	CONCRETE (DITCH LINING)	C5100,C5105,C8331,238110,238140	ON THE LEVEL SAN FRANCISCO, CA	64,000.00
48	MINOR CONCRETE (CURB)		415-550-2663 #40678	19,200.00
49	MINOR CONCRETE (EXPOSED AGGREGATE CONCRETE)			185,980.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).


Failure to submit a signed DBE Confirmation form and submit copies of the DBE quotes will result in disallowance of the DBE's participation.

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use NAICS and/or Work Category Codes from the California Unified Certification Program database.

* NAICS: North American Industry Classification System.

Total Claimed Participation	\$ 685,226.00
	22.4 %
<p>The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).</p> <p style="text-align: center;"></p> <p>Signature of Bidder</p>	
<u>12/05/24</u> Date	<u>925-552-8253</u> (Area Code) Tel. No.
<p>LARRY E. BRANDT, PRESIDENT</p> <p>Person to Contact (Please Type or Print)</p>	

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE CONFIRMATION
 OCR-0007 (REV 11/2020)

CONTRACT NO.
05-1K6404

NAME OF DBE BUSINESS
QA Constructors

NAME OF DBE REPRESENTATIVE
Shawn N. Simmons

DBE CERTIFICATION NUMBER
44069

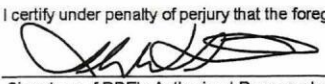
NAME OF BIDDER
SERAFIX ENGINEERING CONTRACTORS, INC.

NAME OF PRIME CONTRACTOR IF DIFFERENT FROM THE BIDDER
SAME

NAME OF REPRESENTATIVE OF BIDDER OR CONTRACTOR
LARRY E. BRANDT

DATE
12/05/2024

Bid item number	Item of work and description of services to be subcontracted or materials to be provided ¹	Amount (\$)
30	HOT MIX ASPHALT (TYPE A)	229,500.00
31	PLACE HMA DIKE (TYPE A)	810.00
32	PLACE HMA DIKE (TYPE E)	9,450.00
33	TACK COAT	50.00
34	REMOVE AC DIKE	4,560.00
67	MOBILIZATION (PARTIAL)	58,500.00

¹ If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.	Total	\$302,870.00
	As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.	
I certify under penalty of perjury that the foregoing is true and correct.		
		
Signature of DBE's Authorized Representative		
Shawn N. Simmons		
Printed Name of DBE's Authorized Representative		
Chief Estimator		
Title of DBE's Authorized Representative		
12/5/24		
Date		

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE CONFIRMATION
 OCR-0007 (REV 11/2020)

CONTRACT NO.	05-1K6404
NAME OF DBE BUSINESS	AVILA TRAFFIC SAFETY
NAME OF DBE REPRESENTATIVE	GARY MORRIS
DBE CERTIFICATION NUMBER	38321
NAME OF BIDDER	SERAFIX ENGINEERING CONTRACTORS, INC.
NAME OF PRIME CONTRACTOR IF DIFFERENT FROM THE BIDDER	SAME
NAME OF REPRESENTATIVE OF BIDDER OR CONTRACTOR	LARRY E. BRANDT
DATE	12/05/24

Bid item number	Item of work and description of services to be subcontracted or materials to be provided ¹	Amount (\$)
3	CAS	38,830.00
4	TRAFFIC CONTROL SYSTEM (PARTIAL)	61,600.00
17	TREATED WOOD WASTE	2,760.00
52	MARKER	1,540.00
53	REMOVE ROADSIDE SIGN	600.00
54	FURNISH SINGLE SHEET ALLUMINUM SIGNS (0.63"-UNFRAMED)	396.00
55	FURNISH SINGLE SHEET ALLUMINUM SIGNS (0.80"-UNFRAMED)	125.00
56	FURNISH SINGLE SHEET ALLUMINUM SIGNS (0.63"-FRAMED)	375.00
57	ROADSIDE SIGN-ONE POST	4,850.00
58	ROADSIDE SIGN-TWO POST	2,100.00
Total		\$113,176.00

¹ If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's Authorized Representative

Kellie Avila

Printed Name of DBE's Authorized Representative

President

Title of DBE's Authorized Representative

12/5/24
Date

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1. List items of work the Bidder made available to Disadvantaged Business Enterprise (DBE) firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of Work Offered, Services Offered, or Materials Supplied	Bidder Normally Performs Item Yes/No	Item Broken Down to Facilitate Participation Yes/No	Established Flexible Timeframes for Performance and Delivery Schedules Yes/No	Amount (\$)	Percentage of Total Bid
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
DBE COMMITMENT MADE N/A	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
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	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
		DBE COMMITMENT MADE N/A	

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO			DBE COMMITMENT MADE N/A		
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results
	DBE COMMITMENT MADE N/A		

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

DBE COMMITMENT MADE N/A

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

DBE COMMITMENT MADE N/A

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

DBE COMMITMENT MADE N/A

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

DBE COMMITMENT MADE N/A

9. Include additional data to support a demonstration of good faith efforts.

DBE COMMITMENT MADE N/A

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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SERAFIX ENGINEERING CONTRACTORS, INC.
7 CROW CANYON, STE 250
SAN RAMON, CA 94583
PHONE: (925) 552-8253
FAX: (925) 552-8254

DEC 9 11:00

DEC 9 11:10

10

MSC 43 Office Engineer
Department of Transportation
1727 30th St.
Sacramento, CA 95816